

Why is the Scoring Rubric being changed?

There were Assurance advisories released earlier in the year which modified guidance in the prior rubric so some of these changes are being made to align with those updates. In addition, additional guidance was necessary on the Scoring Rubric to align with the release of the i1. Finally, additional guidance was necessary for external assessors on the HITRUST sample-based testing expectations.

Are all maturity levels of the Control Maturity Scoring Rubric applicable for an i1 assessment?

No. An i1 assessment will only use the "Implemented" maturity level within the Scoring Rubric since scoring for an i1 assessment is only performed at the implemented maturity level.

Why are the Policy & Procedure strength tiers changing?

We previously modified the criteria and strength tiers updated based upon Advisory [HAA 2021-002](#) (released on June 7, 2021). That change eliminated tiers 2 and 3 from being used in the prior rubric, so this change is aligning the visual representation of the rubric with the change in that Advisory. There is no change to the coverage tiers.

Is the fieldwork period the same for an r2 and an i1 validated assessment?

Yes (90 days)

Is the incubation period the same for an r2 and an i1 validated assessment?

The incubation period for a newly implemented or remediated control for an i1 is 90 days, while the incubation period for an r2 is either 60 days (for policies and procedures) or 90 days (for controls at the implemented, measured or managed maturity levels).

Was there a change to the bridge certificate guidance in this rubric?

No, the bridge certificate guidance remains the same as documented [here](#). However, please note that bridge certificates are only available for r2 validated assessments and are not available for an i1.

Why was guidance updated on the sample-based testing requirements?

Additional information on the approach for sample-based testing was added to the scoring rubric to provide guidance to organizations and external assessors on the HITRUST testing expectations and requirements.

What information should be documented within a testing lead sheet?

The lead sheet should:

- Reference the population evidence,
- Document the sampling approach,
- List the items selected for testing (with references to evidence),
- And include the results of testing for each sampled item.

There is no specific format required for the lead sheet as long as it includes the necessary information.

How long must the sample testing evidence be retained?

As with other evidence, it should be included within the assessment work papers submitted to HITRUST within MyCSF.

When should I start using version 3 of the Control Maturity Scoring rubric?

For an i1 assessment, use of this rubric is required immediately.

For the HITRUST r2 validated assessments, either version 2 or version 3 of the Rubric may be used for assessments submitted prior to May 1, 2022. As of May 1, 2022, r2 validated assessment submissions must use the version 3 Rubric.